

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Piepenbrink et al. : Date: June 7, 2001
Serial Number: Concurrent : Group Art Unit: Unknown
Filed: Concurrent : Examiner: Unknown
Title: METHOD AND SYSTEM FOR : IBM CORPORATION
MANAGING A RELATIONSHIP WITH : John Pivnichny, PhD.
A VENTURE COMPANY : IPLaw N50/040-4
1701 North Street
: Endicott, NY 13760-5553

#2
IDS
10/12/01
PTO
09/886160
06/21/01

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

Commissioner For Patents
Washington, D. C. 20231

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

X 37 CFR 1.97(b) - No Fee.

37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated _____, which is not more than 3 months prior to the mailing of this statement.

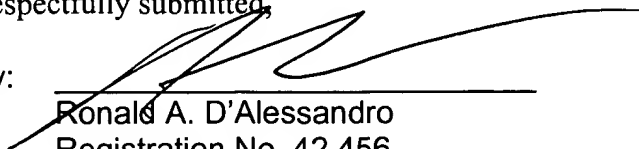
37 CFR 1.97(c) - Fee.

37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0457(IBM).

Respectfully submitted,

By:


Ronald A. D'Alessandro
Registration No. 42,456
Telephone No.: (518) 449-0044

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

END920010038US1

Application Number

Applicant(s)

Piepenbrink et al.

Filing Date

Group Art Unit

PTO
09/886160
11046 U.S.



U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|---------|----------------|-------|----------|-------------------------------|
| | | 6,167,564 | 12/2000 | Fontana et al. | | | |
| | | 6,125,351 | 9/2000 | Kauffman | | | |
| | | 6,012,925 | 1/2000 | Kelly et al. | | | |
| | | 5,233,513 | 8/1993 | Doyle | | | |
| | | 4,773,862 | 9/1988 | Baba | | | |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.